

GP 3624

**BARNES & THORNBURG**

600 One Summit Square  
Fort Wayne, Indiana 46802  
(260) 423-9440

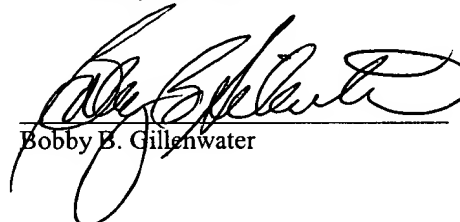
PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.: 23641  
Application No.: 09/804667  
Confirmation No.: 1994  
Filing Date: March 12, 2001  
Attorney Docket No.: 37168/82320  
First Named Inventor: Jeffrey K. Dellinger  
Title: METHOD AND APPARATUS  
FOR PROVIDING  
RETIREMENT INCOME  
BENEFITS

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is  
being deposited with the United States  
Postal Service as first class mail in an  
envelope addressed to: Assistant  
Commissioner for Patents, Washington,  
D.C. 20231

on January 22, 2003



Bobby B. Gillenwater

**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

**RECEIVED**

JAN 31 2003

**GROUP 3600****ENCLOSURES (check all that apply)**

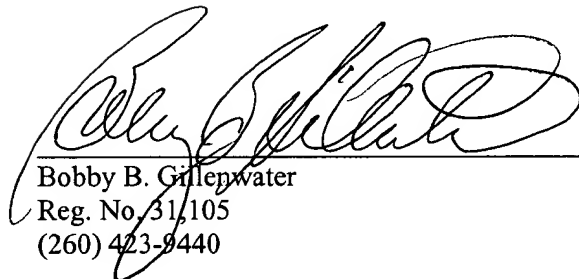
- |   |  |
|---|--|
| <input type="checkbox"/> Fee Transmittal Form             | <input type="checkbox"/> Certified Copy of Priority Document(s)                      |
| <input type="checkbox"/> Fee Attached                     | <input type="checkbox"/> Response to Missing Parts/Incomplete Application            |
| <input type="checkbox"/> Amendment/Response               | <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53         |
| <input type="checkbox"/> After Final                      | <input type="checkbox"/> Assignment Papers (for an Application)                      |
| <input type="checkbox"/> Affidavits/declaration(s)        | <input type="checkbox"/> Drawing(s)  |
| <input type="checkbox"/> Extension of Time Request        | <input type="checkbox"/> Licensing-relating Papers                                   |
| <input type="checkbox"/> Express Abandonment Request      | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition |
| <input type="checkbox"/> Information Disclosure Statement |  |

- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund
- ☐ After Allowance Communication to Group

- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ **Additional Enclosure(s)**  
(please identify below):

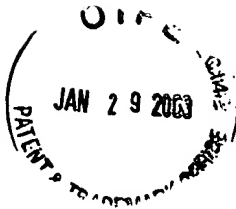
Notice of Related Applications  
Receipt Postcard

Remarks:

  
Bobby B. Gillenwater  
Reg. No. 31,105  
(260) 423-9440

Date: January 22, 2003

FWDS01 BBG 165778\_1



**BARNES & THORNBURG**

600 One Summit Square  
Fort Wayne, Indiana 46802  
(260) 423-9440

#5  
2/13/03

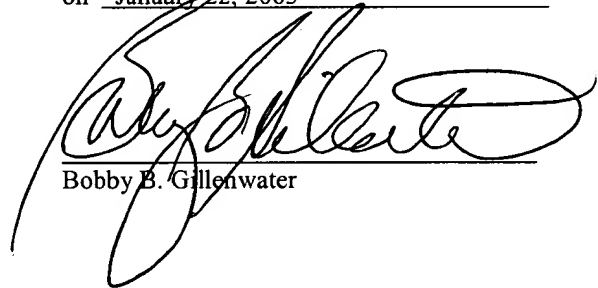
**PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Customer No.: 23641 }  
Application No.: 09/804667 }  
Confirmation }  
No.: 1994 }  
Filing Date: March 12, 2001 }  
Attorney }  
Docket No.: 37168/82320 }  
First Named }  
Inventor: Jeffrey K. Dellinger }  
Title: METHOD AND APPARATUS }  
FOR PROVIDING }  
RETIREMENT INCOME }  
BENEFITS }

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231

on January 22, 2003

  
Bobby B. Gillenwater

**NOTICE OF RELATED APPLICATIONS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

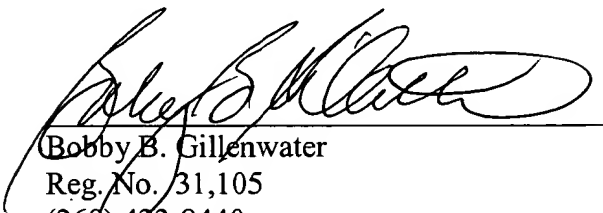
The present application is related to and is owned by the Assignee of U.S. Patent Application, Serial No. 09/753,408, filed on January 2, 2001. The latter application is assigned to Examiner Alain L. Bashore in Group Art Unit 3624.

**RECEIVED**  
JAN 31 2003  
**GROUP 3600**

Please confirm receipt of this letter by date stamping and returning the enclosed file receipt card.

Respectfully submitted,

BARNES & THORNBURG



---

Bobby B. Gillenwater  
Reg. No. 31,105  
(260) 423-9440

FWDS01 BBG 165726\_1